CIAD'S Value to the Cooperative Audit Strategy

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July 15, 2015

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The CIAD Structure

- **The collective body of the CIAD consists of the most senior internal audit executives of DOE/NNSA Contractor organizations.**
- **The CIAD elects a Steering Committee to:**
  - Foster communication between the Contractor Internal Audit groups and their customers;
  - Promote the use of professional auditing standards and best practices; and
  - Serve as the liaison between the CIAD community, the DOE/NNSA, and the OIG, primarily through representation on the Steering Committee for the Cooperative Audit Strategy (SCCAS).
- **The Steering Committee participates in teleconferences monthly and meets in person two times per year.**

Steering Committee – Roles and Responsibilities

- **Committee Chairs –** co-host CIAD conference annually and represent CIAD at the SCCAS meetings three times per year
- **Two Standing Subcommittees:**
  - Professional Standards – Responsible for proper performance of QA Peer Reviews
  - Communications – Responsible for communication with the CIAD members, committee meeting minutes, announcements, updating Charter, annual balloting, etc.
- **At Large Members –** participate in monthly Steering Committee meetings and take on ad-hoc projects
- **Continuity Members –** serve beyond the normal term, if needed, to preserve historical knowledge and maintain initiative momentum

Steering Committee – Members, June 2015

Conference Co-Hosts	
2014 – Chicago-Biennial meeting	
Denise Price & Jay Dickerman	(Annual Meeting 2013 to Annual Meeting 2015)
2015 – Spokane, Washington	
Kevin Ensign & Fred Pieper	(Annual Meeting 2014 to Annual Meeting 2016)
2016- Kansas City, Mo.- Biennial meeting	
Monica Vegge & Mark Israel	(Annual Meeting 2015 to Annual Meeting 2017)

Communications Subcommittee	
Fran Dunshee, Ames	(Mid-Year Steering Cmte Meeting 2013 to Annual Meeting 2015)
Patricia Monjure, SPR	(Mid-Year Meeting 2015 to Annual Meeting 2016)

Members at Large	
Gail Lucento, Jefferson Lab	(Annual Meeting 2013 to Annual Meeting 2015)
Monica Vegge, Kansas City Plant	(Annual Meeting 2013 to Annual Meeting 2015)
Paul Schafer, LLNL	(Annual Meeting 2014 to Annual Meeting 2016)
Barbara Stokes, NREL	(Annual Meeting 2014 to Annual Meeting 2016)

Professional Standards Subcommittee	
Mark Israel, BNL	(Annual Meeting 2013 to Annual Meeting 2015)
Jim Nobles, Y12	(Mid-Year Steering Cmte Meeting 2013 to Annual Meeting 2015)
Terry Hamilton, LBNL	(Continuity Position – Term TBD)

Steering Committee for the Cooperative Audit Strategy	
Denise Price & Jay Dickerman	(Annual Meeting 2013 to Annual Meeting 2015)
Gail Lewis - ORNL	(Continuity Position – Term TBD)
Kevin Ensign & Fred Pieper	(Annual Meeting 2014 to Annual Meeting 2016)
Monica Vegge & Mark Israel	(Annual Meeting 2015-Annual Meeting 2017)

Yellow highlighting reflects positions to be filled during July 2015 Election

Benefits of the CIAD

- Promoting strategies for common issues faced by DOE Contractors
- Sharing viewpoints, experiences, and common issues and risks
- Benchmarking practices throughout the DOE complex
- Coordinating a qualified Peer Review Program that is respected by the DOE
- Providing a forum for advocacy with the OIG and DOE-HQ through SCCAS

Recent CIAD Accomplishments

- **Through its involvement with SCCAS**
  - **CIAD serves as the glue** – Gail Lewis and others were a motivating force behind revitalizing the SCCAS, refreshing the group's mission, purpose and goals. Meetings are now being held regularly and action items tracked to completion.
  - **CIAD is a facilitator** – recently was able to reach agreement with the OIG that for universities' subcontracted to Contractors, Internal Audit groups may rely on the universities' A-133 audit results when assessing risk related to those subcontracts.
  - **CIAD can influence requirements** – Commented on recent revision of the IG-Audit Manual as well as proposed changes to Acquisition Guide Section 70.1, *The Cooperative Audit Strategy*, which is incorporated into many of our DOE Contracts.

Looking Forward

- **For Friday the 17th**
  - Last workshop on Friday is to identify the top three issues the SCCAS should bring up with the DOE/NNSA during the next year.
  - As you go through the conference, identify at least three issues you feel are of importance and should be discussed with the DOE/NNSA.
  - AND there is still one opening on the Communications Subcommittee of the Steering Committee if anyone is interested in more involvement with the CIAD.