



Hosted by: Pacific Northwest National Laboratory and Idaho National Laboratory
July 15-17, 2015

Davenport Hotel, 10 S. Post Street, Spokane, WA 99201

All meetings held in Grand Pennington Ballroom

Wednesday, July 15

Introduction:

8:00 – 8:20 a.m. - **Overview** of the Idaho National Laboratory

Presenter: Juan Alvarez, Deputy Lab Director, INL

8:20 – 8:50 a.m. - **CIAD's Value to the Cooperative Audit Strategy:** Overview how CIAD is structured, operates and accomplishments. Informs everyone how the CIAD works and tees up the workshop on the last day to identify the top 3 issues CIAD should work with DOE.

Presenter: Denise Price (ANL) and Jay Dickerman (NST)

Fraud:

9:00 – 10:00 a.m.: **Ethics**

Presenter: Alan Rither, PNNL OGC

10:00 – 12:00 p.m. **Hanford Timecard Fraud Case Study:** An analysis/discussion starting with the initial Internal Audit report; to the OIG investigations; to the \$18M company settlement agreement; to the criminal prosecutions and final closure.

Presenters: Karrisa Otero, OIG Special Agent/Tyler Tornabene, Assistant US Attorney

12:00 p.m. – 1:00 p.m. - **LUNCH**

1:00 – 2:00 p.m. - **Ethics & Compliance/Control Frameworks and Testing:**

Company requirements and responsibilities, and minimum requirements of a compliance program for non-fully integrated subsidiaries.

Presenter: Richard Kofmehl, CAE, WRPS



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Wednesday, July 15 (Continued)

2:00 – 2:50 p.m. - **Workshop on Fraud:** A discussion how the various audit groups handle fraud, such as: Does your company write-off related costs or claim they are normal business expenses? If you write-off costs how do you determine the correct amount? For timecard fraud do you apply overheads or instead argue since the base labor was fraudulent and never occurred, overheads are not applicable?...etc. The purpose of this subject is to share ideas and see if there is a consensus to how this issue is handled.

Panel: Gail Lewis (ORNL/UT-Battelle), Paul Schafer (LLNL), Monica Vegge (NSC/Honeywell FM&T), Jeanette Bennion (LANL)

Cost Accounting Standards:

3:00 p.m. – 5:30 p.m.

Applicable standards with a focus on those that DOE contractors tend to have difficulty complying with, (401, 402, 405, 410, 418).

Presenter: Wayne Moosman, (LANL)



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Thursday, July 16

Introduction:

8:00 – 8:20 a.m. - **Overview** of the Pacific Northwest National Laboratory

Presenter: Brian Abrahamson, PNNL CIO

Cyber Security:

8:20 – 9:20 a.m. - Cyber Security overview

Presenter: Brian Abrahamson, PNNL CIO

9:30 – 11:00 a.m. - Cyber Security and Cloud Computing

Presenter: Darren Van Booven, INL Cyber Security Officer

11:00 a.m. – 12:30 p.m. - **LUNCH**

Subcontract Auditing:

12:30 – 1:30 p.m. - **Subcontract Audits of Universities:** Background explaining the various positions concerning the acceptance of A-133 audits; explanation how A-133 audits provide a “system” view so individual contracts don’t all have to be tested; Explanation how the CIAD group brought this issue to DOE and got a favorable OIG decision; Summary of savings across the complex and group discussion on experience.

Presenters: Michael Terrel, PNNL Cost/Price manager and Gail Lewis, ORNL/CIAD

1:30 – 2:20 p.m. - **Cost/Price Overview, Pre-Award audits and Requirements:** Overview of requirements and how the requirement for subcontract audits can be mitigated.

Presenter: Michael Terrel, PNNL Cost/Price manager



Contractor Internal Audit Directors (CIAD) Conference
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Thursday, July 16 (continued)

2:30 – 3:00 p.m. - **Workshop on Subcontracting Issues Across DOE Complex:**
Panel: Nancy Davis (Sandia), Terry Hamilton (LBNL)

Recent Challenges in the DOE Community:

3:00 – 5:00 p.m. - **Liability for Contractor Errors:** An explanation of who bears the risk of errors on government cost-reimbursable contracts; court decisions related to who is liable for contractor errors; analysis of the courts logic; analysis of how the DOE Acquisition Letter (AL-2012-03) on contractor errors addressed this issue; Discussion on how this information can be applied to DOE environment.

Presenter: Linda Montgomery, INL General Counsel

Steering Committee Meeting: 5:00 p.m. – 6:00 p.m.



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Friday, July 17

Recent challenges in the DOE Community continued

8:00 – 8:30 a.m. - **Overtime pay for Training:** FAR language on Overtime pay for training; basis of the EFCOG white paper to DOE arguing these costs should be allowed; DOE decision memorandum and impacts.

Presenter: Jeff Leumont, PNNL, Prime Contract Manager

8:30 – 9:00 a.m. - **Extended Temporary Duty Assignments:** Overview of new DOE rules and issues

Presenter: Amanda Treml (WRPS) and Jessica Linton (WRPS)

9:00 – 9:30 a.m. **Conference Management:** Overview of current status and issues

Presenter: Gail Lucento, CAE Jefferson Science Associates

9:30 – 9:50 a.m. **QAR Minimum Documentation requirements**

Presenter: Terry Hamilton, CIAD Professional Standards Subcommittee

9:50 – 10:15 a.m. **Salary Compensation Limits:** Overview of new limits and issues

Presenter: Jay Dickerman, CAE National Security Technologies

10:15 – 10:40 a.m. **“Cadillac” Health Care Tax:** Overview of upcoming tax implications and issue

Presenter: Jim Nobles, CAE, Consolidated Nuclear Services

10:40 – 11:00 a.m. **IGPP Requirements:** Overview of the IGPP rules and requirements and the recent OIG report and findings.

Presenter: Denise Price, Argonne National Laboratory

CIAD Workshop

11:10 a.m. – 12:00 p.m. Discussion to identify the top three issues the CIAD group wants raised to DOE, and the proposed CIAD Share-Point site to host common data/documents

Facilitators: Fred Pieper (INL) and Kevin Ensign (PNNL)

Closing Remarks: